

DEFERRED  
ROM 308

03500.015849.

OFFICE OF PATENT  
REFUND PATENT APPLICATION

700 DEC 19 AM 8:57

# 1/1/02 for  
refund

03-20-03



IN THE U.S. PATENT AND TRADEMARK OFFICE

US PATENT & TRADEMARK  
OFFICE

In re Application of:

TSUTOMU NISHIUWATOKA ET AL.

Appln. No.: 09/965,802

Filed: October 1, 2001

For: DRIVING-FORCE  
TRANSMITTING PART,  
ELECTROPHOTOGRAPHIC  
PHOTOSENSITIVE DRUM,  
PROCESS CARTRIDGE AND  
ELECTROPHOTOGRAPHIC  
IMAGE FORMING APPARATUS

Examiner: Q. Grainger

Group Art Unit: 2852

December 9, 2002

Commissioner of Patents and Trademarks  
Washington, D.C. 20231

REQUEST FOR REFUND

Sir:

In connection with the above-identified application, Applicant requests a refund of \$280.00 for multiple dependent claims fees which was paid by check and also charged to our Deposit Account. It is requested that the refund be applied as a credit to our Deposit Account No. 06-1205.

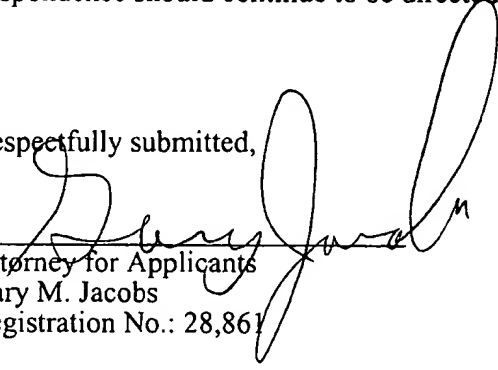
On October 1, 2001 as indicated on the attached Utility Patent Application Transmittal, the Applicant forwarded a check in the amount of \$4,380.00 to cover the Filing Fee which included the multiple dependent claims fees. Also enclosed is a copy of the postcard acknowledging receipt of the check by the Patent and Trademark Office.

Applicants' attorney received a Monthly Statement of Deposit Account dated January 31, 2002, copy attached, indicating that Deposit Account No. 06-1205 was charged \$280.00.

Accordingly, Applicant hereby requests and authorize the Commissioner to credit to Deposit Account No. 06-1205 in the amount of \$280.00, in order to resolve this matter.

Applicant's undersigned agent may be reached in our Washington, D.C. office by telephone at (202) 530-1010. All correspondence should continue to be directed to our below listed address.

Respectfully submitted,



Attorney for Applicants  
Gary M. Jacobs  
Registration No.: 28,861

FITZPATRICK, CELLA, HARPER & SCINTO  
30 Rockefeller Plaza  
New York, New York 10112  
Facsimile: (212) 218-2200

NY\_MAIN 309297 v 1



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

MONTHLY STATEMENT  
OF DEPOSIT ACCOUNT

To replenish your Deposit Account, detach and  
return top portion with your check. Make check  
payable to Commissioner of Patents & Trademarks



Account No.	061205
Date	1-31-02
Page	2

FITZPATRICK CELLA HARPER & SCINTO

30 ROCKEFELLER PLAZA

NEW YORK NY 10112-3801

FINA

PLEASE SEND REMITTANCES TO:  
Patent and Trademark Office  
P.O. Box 70541  
Chicago, Ill. 60673

FEED YR.	CONTROL NO.	DESCRIPTION (Serial, Patent, TM, Order)	DOCKET NO.	FEE CODE	CHARGES/ CREDITS	BALANCE
02	9	09586890	35G2599	103		
02	10	09586890	35G2599	102	162.00	21444.00
02	11	09161398	169.0977	561	168.00	21276.00
02	21	09245043	684.2803	561	30.00	21246.00
02	33	09340462	35.C13622	561	30.00	21216.00
02	42	09438490	35.C14018	103	30.00	21186.00
02	112	09395928	35.G0687 C/D	561	4.00	21182.00
02	114	09251413	35.C13334	561	30.00	21152.00
02	192	09626384	35.G2631	561	30.00	21122.00
02	194	09499680	35.C14237	561	30.00	21092.00
02	6	09395927	865.4395	104	30.00	21062.00
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2	68	09252077	35.C8397-D2-	561	-120.00	20902.00
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2	114	29143459	2673.400400	561	30.00	20842.00
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2	17	09900037	684.3215	103	30.00	19590.00
2	27	09965802	35.C13349	104	36.00	19554.00
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1	4	PCT/US01/21630	01968.OP5PCT	803	80.00	19194.00
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		09431266	2104.6	561	400.00	20182.00
		PCT/US01/29144	2718.1 PCT	803	30.00	20152.00
		09000811	35.G2107	561	15.00	20137.00
		09044016	35.C12649	103	9.00	20128.00
					30.00	20098.00
					162.00	19936.00
SUFFICIENT TO SERVICES REQUESTED YS BE ON DEPOSIT.			OPENING BALANCE	TOTAL CHARGES	TOTAL CREDITS	CLOSING BALANCE



Commissioner for Patents  
Washington, D.C. 20231

Date 10 / 01 / 2001  
Mo. Day Yr.

Atty. Docket 35. C15849

Sir:

Kindly acknowledge receipt of the accompanying:

- ☒ Specifications, claims and abstract 55 pages, with Transmittal Form  
☒ Patent Application Bibliographic Data Sheet 1 sheet  
☐ Executed Oath or Declaration and Power of Attorney  
☒ 11 Sheets of ☒ formal ☐ informal drawings  
☒ Check for \$ 4380.00 (filing fee)  
☐ Request for Continued Examination and Check for \$ \_\_\_\_\_  
☐ Assignment, PTO-1595 and Check for \$ \_\_\_\_\_  
☐ Transmittal Under 37 CFR 1.53(d) (CPA)  
☐ Petition under 37 CFR 1.136 and check for \$ \_\_\_\_\_

☒ Other (specify) Information Disclosure statements + 5 references  
by placing your receiving date stamp hereon and mailing or returning to deliverer.

This is a ☐ Continuation ☐ Divisional ☐ Continuation-In-Part

Atty. Gms/dc

Due Date 10 / 04 / 2001  
Mo. Day Yr.

37 CFR 1.8 ☐  
37 CFR 1.10 ☐  
By Hand ☒



FCIS-A-00



# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

35.C15849

First Named Inventor or Application Identifier

TSUTOMU NISHIUWATOKO, ET AL.

Express Mail Label No.

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

## ADDRESS TO:

Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification Total Pages
4. ☒ Drawing(s) (35 USC 113) Total Sheets
5. ☐ Oath or Declaration Total Pages 
  - a. ☐ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)
    - i. ☐ **DELETION OF INVENTOR(S)**  
Signed Statement attached deleting  
inventor(s) named in the prior application, see  
37 CFR 1.63(d)(2) and 1.33(b).
6. ☒ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer  
Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure ☒ Copies of IDS  
Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Other: \_\_\_\_\_

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. \_\_\_\_/\_\_\_\_\_  
Prior application information: Examiner \_\_\_\_\_ Group/Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 18. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label   
(Insert Customer No. or Attach bar code label here) or ☐ Correspondence address below

NAME					
Address					
City	State	Zip Code			
Country	Telephone	Fax			



CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS (37 CFR 1.16(c))	188-20 =	168	X \$ 18.00 =	\$ 3024.00
	INDEPENDENT CLAIMS (37 CFR 1.16(b))	7-3 =	4	X \$ 84.00 =	\$ 336.00
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			\$280.00 =	\$ 280.00
				BASIC FEE (37 CFR 1.16(a))	\$ 740.00
	Total of above Calculations =				\$ 4380.00
	Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28).				
	TOTAL =				\$ 4380.00

19. Small entity status

- a. ☐ A small entity statement is enclosed
- b. ☐ A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired.
- c. ☐ Is no longer claimed.

20. ☒ A check in the amount of \$ 4380.00 to cover the filing fee is enclosed.

21. ☐ A check in the amount of \$ \_\_\_\_\_ to cover the recordal fee is enclosed.

22. The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 06-1205:

- a. ☒ Fees required under 37 CFR 1.16.
- b. ☐ Fees required under 37 CFR 1.17.
- c. ☐ Fees required under 37 CFR 1.18.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED	
NAME	Gary M. Jacobs - Reg. No. 28,861
SIGNATURE	
DATE	October 1, 2001